

(TENDER ID: HOM201907007)



SBI INFRA MANAGEMENT SOLUTIONS PVT. LTD.
(A Wholly Owned Subsidiary of SBI)

HEAD OFFICE

Ground Floor, Raheja Chamber, Free press Journal Marg, Nariman Point, Mumbai 400 021

**NOTICE INVITING EXPRESSION OF INTEREST FOR
PRE-QUALIFICATION OF CONTRACTORS**

For

**PROPOSED CONSTRUCTION OF 3 MULTI-STOREYED RESIDENTIAL TOWERS
AT STATE BANK OF INDIA'S RESIDENTIAL COMPLEX, SECTOR-13, NERUL,
NAVI MUMBAI.**

(Last Date & Time for Submission : on or before 23.08.2019 by 04.00 PM)

Name of The Tenderer:

Address:

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.....

GSTIN:

SBI INFRA MANAGEMENT SOLUTIONS PVT. LTD.

(A Wholly Owned Subsidiary of SBI)

Head Office, Ground Floor,
Raheja Chamber,
Free press Journal Marg,
Nariman Point, Mumbai 400 021.
Ph: 022-22880295, 22880258

WEBSITE NOTICE FOR PRE-QUALIFICATION OF CONTRACTORS

1. SBI Infra Management Solutions Pvt. Ltd. (SBIIMS) on behalf of SBI invite prequalification application from intending contractors for Proposed Construction of 3 Multi-Storeyed Residential Towers at State Bank of India's Residential Complex, Sector-13, Nerul, Navi Mumbai.
2. Interested agencies/contractors should apply on the prescribed documents (which can be downloaded from SBI website bank.sbi <link> [Procurement News](#). Interested agencies/contractors may submit the pre-qualification along with a non- refundable processing fees of **Rs.20,000/-** (To be deposited through **SBI collect** with Tender ID **HOM201907007** print for receipt of payment should be enclosed with the application). Last date of submission of Pre-Qualification Application to this Office on or before **23.08.2019 by 04.00 PM**.
3. **The contractors who have applied earlier** for the pre-qualifications notice published by SBI for the captioned project on dt.14.06.2017 and corrigendum dated 06-07-2017 in various newspaper **need to apply afresh**.
4. The qualified bidder shall be bound to execute the integrity pact as per the format attached as **Annexure-H**.
5. Corrigendum/Amendment, if any would be hosted on the website only. Hence, prospective applicants are advised to visit website regularly for above purpose.

Managing Director & CEO

PRE-QUALIFICATION OF CONTRACTOR FOR PROPOSED CONSTRUCTION OF 3 MULTI-STOREYED RESIDENTIAL TOWERS AT STATE BANK OF INDIA'S RESIDENTIAL COMPLEX, SECTOR-13, NERUL, NAVI MUMBAI.

A. BRIEF PARTICULARS OF THE WORK

1. **SBI INFRAMANAGEMENT SOLUTIONS PVT.LTD** (SBIIMS PVT.LTD.) on behalf of STATE BANK OF INDIA (SBI) proposes Construction of 3 Multi-storeyed Residential Towers comprising of (Ground + 2 Podiums + 23 Floors) (Appx.94.5 m high) at SBI staff residential complex, sector 13, Nerul Navi Mumbai. The Estimated cost of the project inclusive of Foundation, Civil, Electrical, Sanitary, Plumbing, Fire-fighting, Landscaping and other Allied Services described above is approximately **Rs.130 Crore**.
2. **Brief Scope of Work:** The scope of work comprises of Civil, Plumbing, Sanitary, Fire fighting and internal electrical installation of 23 Storeyed Residential Towers including site development and other ancillary work.
3. The proposed work has to be completed in all respects on FAST TRACK. The **time allowed** for completion of the project is **36 months** including monsoon period from the date of entering into a Contract.
4. SBIIMS PVT.LTD intends to pre-qualify the competent Civil Contractors having requisite qualifying experience and infrastructure and financial capability to undertake the work as specified in the pre-qualification documents for completion of the project in the prescribed scheduled time.
5. All drawings/designs and other details for the proposed work shall be made available by the SBIIMS authorised Project Architects / Design Consultants M/s Pheroze Kudianavala Consultants Engineers, Pvt. Ltd., Mumbai.

The intending contractor should comply the following minimum eligibility criteria for pre-qualification for the proposed project: -

B. ELIGIBILITY CRITERIA FOR PREQUALIFICATION:

- i. **EXPERIENCE:** The applicant should be a well-established and reputed establishment (for a minimum period of 7 years) engaged in the Civil construction including internal road, landscaping, site-development, plumbing & sanitary, internal electrical, Fire-fighting and other allied work for minimum two 15 storeyed residential/commercial building including one basement for Banks, Financial Institutions, Government Organizations / Public Sector Undertaking etc.
- ii. The applicant should have satisfactorily completed "similar" works of magnitude as specified below during the last 7 years ending 31.03.2019. This should be certified by an Officer not below the rank of Superintending Engineer / Chief Project Manager or equivalent of the Organization for whom the work has been done.

- a. Three similar completed works each costing not less than Rs. 52.00 Crore during the last 7 years ending 31.03.2019.

OR

- b. Two similar completed works each costing not less than Rs. 65.00 Crore during the last 7 years ending 31.03.2019.

OR

- c. One similar completed works costing not less than Rs. 104.00 crores during the last 7 years ending 31.01.2019.

NOTE:

- “Similar works” under this clause shall mean “successful completion of Civil construction including internal road, landscaping, site-development, plumbing & sanitary, internal electrical, Fire-fighting and other allied work etc. complete as a composite project of specified magnitude for minimum two 15 Storied Residential/Commercial buildings with minimum one basement for Central Govt. Dept./State Govt. Dept./ Semi Govt. Dept. /PSU/Public sector Banks.
 - “Cost of work” shall mean actual gross value of completed “similar” work including all the components executed under the contract. The applicant shall submit a copy of final Bill / completion certificate of each project executed by them, during the said period duly supported by performance certificates of clients.
 - “Applicant” means proprietary concern, partnership firm, private or public limited company applying for pre-qualification.
 - “Employer” or “Client” means SBIIMS on behalf of SBI
- iii. **TURNOVER:** The applicant should have average annual financial turnover of **Rs. 39.00 Crore** during the last 3 years ending 31/03/2019. This should be duly audited and certified by a Chartered Accountant (Copy to be attached). Year in which no turnover is shown would also be considered for working out the average.
- C. The applicant should not have incurred loss in last three or more consecutive years during the last five years ending 31/03/2019, duly certified by a Chartered Accountant (Copy to be attached). The firm should not be under liquidation, court receivership or similar proceedings.
- D. The applicant should have a solvency of **Rs. 39.00 Crore** certified by a Scheduled Bank (Original copy to be attached). The Solvency Certificate should not have been obtained earlier than 31/03/2019. In case, the applicant is not able to supply the solvency certificate in original, the same should be attested by a Notary.

- E. The applicant should have adequate in-house plant and machinery required for the proper and timely execution of the job. The details of the same shall be furnished duly authenticated as per the format enclosed in the Form G.
- F. The applicant should have sufficient number of Technical and Administrative employees on their roles for the proper execution of the contract as per the format enclosed in the Form F.

Note: Only such vendors who fulfil the aforesaid eligibility criteria need to apply. Joint ventures and/or consortium are not allowed and not accepted.

C. EVALUATION CRITERIA FOR PRE-QUALIFICATION:

For the purpose of pre-qualification, applications will be evaluated in the following manner:

- The eligibility criteria prescribed hereinabove (in respect of experience of similar class of works completed) shall be scrutinized and the applicant's eligibility for pre-qualification for the work be determined. Only the applicants who meet the eligibility criteria specified as above will be further evaluated on the basis of details furnished by them.
- If necessary, the authorized representatives of SBI/MSBFI will visit Projects sites which are recently executed / being executed by the applicants, in order to evaluate the performance of the applicants and quality of work. In such case, the applicant will be required to obtain/give them necessary permission / facilities and arrangements for site visit as required.
- On the basis of the prequalification criteria mentioned above and after the evaluation of the applicants based on the site visit report, credentials submitted by the applicants, satisfactory completion certificates in respect of "Similar work" as spelt out above, confidential reports obtained from various clientele (wherever necessary) etc., applications will be shortlisted. Thus, shortlisted applicants will be considered as the pre-qualified vendors subject to verification of relevant documents and tenders for the captioned work will be invited by us from the prequalified vendors only.
- Merely fulfilling the prescribed minimum prequalification criteria does not entitle the applicant for shortlisting, which is subject to the verification of documents/information furnished by the applicants, inspection of work, quality and timely execution of project, seeking confidential performance reports from the client etc.
- The broad criteria inter-alia for prequalification will also include the following parameters:
 - Quality Consciousness.
 - Quality of finishes.
 - Timely execution.
 - Integrity as regards working.
 - Ease in setting extra work, if any.
 - Litigation, if any, involving Arbitration/court of Law.
 - Financial soundness.

- Magnitude of work undertaken.
 - List of work executed.
 - Establishment, plant and equipment.
 - Performance report from other employers
- Bank reserves the right to accept or reject any or all applications without assigning any reason thereof. Bank also reserves the right to restrict the list of prequalified vendors to any number deemed suitably by it. **This prequalification does not bind SBIIMS to award any job/project to the prequalified vendors.**

D. INSTRUCTIONS TO APPLICANTS

GENERAL INSTRUCTIONS:

- i. Please read these instructions carefully before filling up the application form.
- ii. The application must be submitted in the proforma to be downloaded from SBI's website without editing the text whatsoever. Any violation of this condition shall render the application invalid.
- iii. The Application form have to be submitted in the prescribed format in sealed covers along with other details etc. The sealed covers super-scribed with the legend "Prequalification of Contractors for construction of 3 Nos, 23 Storied Residential Towers at Nerul, Navi Mumbai" should be submitted before the scheduled date and time.
- iv. Letter of Transmittal along with all the annexures and necessary documents / details as sought in a separate sealed cover supported by prescribed annexures containing other details etc. as mentioned.
- v. In accordance with the compliance of adoption of Integrity Pact (As per CVC order No.41/12/07) an Independent External Monitor (IEM) will monitor and review the entire tendering and procurement process. The details of the IEMs are as under:

	SHRI JOHNY JOSEPH	SHRI K. CHANDRAHAS
CADRE	IAS (Retd.)	IRS (Retd)
ADDRESS	701/702, Callalily-Y, Nagar Amrit Shakti, Chandivali, Andheri (East), Mumbai – 400072	G-1, Reliance Homes, 8-2-547/R, Road No. 7, Banjara Hills, Hyderabad – 500034
e-mail ID	johnyjoseph49@gmail.com	kchandrahas@yahoo.com

The qualified bidder shall be bound to execute the integrity pact as per the format attached as **Annexure-H**.

(i) **Contents PQ Documents:**

The application form is to be submitted in a **sealed cover envelope together with all the annexures and enclosures** as required, duly sealed and signed along with the certified and attested true copies of following documents.

- Proof of establishment / constitution of the Company.
- List and addresses of their offices.

- List of works executed during last 7 years for Govt. / Semi-Govt. / Financial Institutions / Banks / Govt. organizations/Public Sector undertakings along with details and supporting proof viz., copies of work orders, satisfactory completion certificates etc.
 - Certificate/ proof of empanelment / enlistment in other Organizations / Govt. / Semi-Govt. / Public sector undertakings / Banks (if any).
 - Feedback report/ satisfactory completion certificate in respect of “Similar work” as mentioned above (during last 7 years) from few clients. (May be furnished as per the format enclosed in Annexure D).
 - Audited balance sheets for last 3 years certified by Chartered Accountants.
 - Details of tools and equipment, if any, to be used in the project.
 - Declaration (To be furnished in the letter head of organization as per the format enclosed in Annexure-E).
- (ii) The applicant should seal and sign each page of the application and its annexures / documents. The duly filled-in documents shall be received up to **16.00 Hrs. on 23-08-2019** at the below mentioned address in sealed envelope super-scribed **“Prequalification of Contractors for Proposed Construction of 3 Multi-Storeyed Residential Towers at State Bank of India’s Residential Complex, Sector-13, Nerul, Navi Mumbai.”**
- SBI Infra Management Solutions Pvt. Ltd.,
Head Office, Ground Floor,
Raheja Chambers, Free Press Journal Marg,
Nariman Point, Mumbai-400021.*
- (iii) The applicants are advised to visit the site at his/their own cost to examine the site conditions and collect all information that is considered necessary before participating in the Prequalification process.
- (iv) All information called for in the enclosed forms should be furnished against the relevant columns therein. If, for any reason, information is required to be furnished on separate sheet, this fact should be mentioned against the relevant column. Even if no information is to be provided in a column, a “Nil” or “no such case” or “Not Available” entry should be made in that column. If any particulars/queries are not applicable in case of the applicant, it should be stated as “Not Applicable”.
- (v) The applicants may please note that giving incomplete/unclear information called for in application forms, or making any changes in the prescribed forms, or deliberately suppressing any information, may result in disqualification of the applicant summarily.
- (vi) Applications received by any mode other than **Speed Post** will not be accepted/entertained.
- (vii) Overwriting and using of correcting fluid should be avoided. Corrections, if any, should be made by neatly crossing out and shall be rewritten with initials and date.

- (viii) All Pages of the document have to be numbered. Additional sheets, if any added by the vendor, should also be numbered by him. They should be submitted as a package (duly binded) with signed letter of transmittal. The documents with loose pages may result in loss of enclosures for which SBIIMS shall not be responsible and such applications may be summarily rejected.
- (ix) The applicant may furnish any additional information, which he thinks is necessary to establish his capabilities to successfully complete the envisaged work. He is, however, advised not to furnish superfluous information. No information shall be entertained after submission of Expression of Interest document unless it is called for by the Employer.
- (x) References, information and certificates from the respective clients certifying suitability, technical know-how or capability of the applicant should be signed by an officer not below the rank of Superintending Engineer/Chief Project Manager or equivalent of the respective organization.
- (xi) Documents submitted in connection with pre-qualification will be treated as confidential and will not be returned.

LETTER OF TRANSMITTAL

The applicant should submit the letter of transmittal on the letter head of the applicant attached/appended with Application form along with annexures of pre-qualification document as mentioned / necessitated.

ORGANISATIONAL INFORMATION - BIODATA

Applicant is required to submit the information in respect of his organization (**in Application form**) and Bio-data of the Directors / Partners / Key associates.

FINANCIAL INFORMATION

Applicant should furnish the following financial information as per the format as mentioned **in Form 'A'**:

- (a) Banker's Details, Chartered Accountant, Annual financial statement for the last three years (**in Form 'A'**) should be supported by audited balance sheets and profit and loss accounts duly certified by a Chartered Accountant, as submitted by the applicant to the Income Tax Department.
- (b) Name and address of the banker's identification of individuals familiar with the applicant's financial standing and a banker's statement on availability of credit.
- (c) Solvency Certificate.

EXPERIENCE IN SIMILAR WORKS HIGHLIGHTING MAJOR PROJECTS

Applicant should furnish the following:

- i. List of all “Similar” works successfully completed during the last *seven years* (in **Form “B”**).
- ii. This list is to be substantiated with the documentary evidences such as copies of work orders, certified final bill copy, satisfactory completion certificate obtained from client etc. without which, the projects mentioned in the format may not be considered for scrutiny.
- iii. List of works completed before seven years may be mentioned in separate sheet if the applicant intends to do so.
- iv. List of the ‘Similar’ works under execution or awarded (in **Form “C”**).
- v. Particulars of ‘Similar’ Major projects completed (as mentioned in **Form ‘B’**) indicating the performance of the applicant duly authenticated / certified by an officer not below the rank of Superintending Engineer/Chief Project Manager or equivalent should be furnished separately for each major work completed. (in **Form “D”**)

ORGANISATIONAL INFORMATION – OTHERS

Number of Technical and Administrative Employees in the organization and how they would be involved in this work (in **Form F**).

Note: No further tender Notice shall be published for the project in this regard and invitation of tenders (NIT) shall be issued to the prequalified bidders only.

The SBIIMS on behalf of SBI reserves the right to: -

- (a) Reject any or all of the applications without assigning any reason.
- (b) Amend the scope and value of contract to the applicant.
- (c) Verify the particulars furnished by the applicant independently. If any information furnished by the applicant is found incorrect at any stage of the project, the SBIIMS will be at liberty to debar such Contractor(s) from participation in future tendering / taking up of work in SBIIMS in future, besides cancellation of their tender. Moreover, the SBI/SBIIMS Pvt. Ltd will not pay any damages/compensation to such vendor or firm or the concerned person. Further, any breach of this condition by the applicant would also render him liable to be removed from the approved list of vendors of SBIIMS
- (d) Restrict the list of pre-qualified vendors to any number deemed suitable by it, if too many applications are received satisfying the basic Pre-Qualification criteria.
- (e) Cancel the EOI process without specifying any reason whatsoever.

(2) Even though an applicant may satisfy the above requirements, he would be liable for disqualification if he has: -

- (i) Made misleading or false representation or deliberately suppressed the information in the forms, statements and enclosures required in the pre-qualification document.
- (ii) Record of poor performance such as abandoning work, delaying the project, not properly completing the contract, or financial failures / weaknesses etc.

(3) Corrigendum / addendums (if any) to this notice shall only be available / posted on SBI's website

(4) The applicants who have down-loaded the PQ document from the website, should read the following important instructions carefully before submitting the PQ documents: -

- i. The applicants should see carefully & ensure that the complete PQ document contains the pages in all in the PQ document.
- ii. The printout of PQ document should be taken on 'A 4' size paper only & the printer settings, such that document is printed as appearing in the web & there is no change in formatting, number of pages etc.
- iii. The applicant should ensure that no page in the down-loaded PQ document is missing.
- iv. The applicant should ensure that all pages in the down-loaded PQ document are legible & clear & are printed on a good quality paper.
- v. The applicant should ensure that every page of the down-loaded PQ document is signed by applicant with stamp (seal) of the applicant company and all the blanks are filled by the Applicant, suitably.
- vi. The applicant should ensure that the down-loaded PQ document is properly bound and sealed before submitting the same and any correction / addition / alteration / omission are made in the PQ document by the applicant, it shall be treated as non – responsive and the application shall be summarily rejected.
- vii. The applicant shall furnish a declaration as per the format to this effect that no addition / deletion / corrections have been made in the PQ document submitted and it is identical to the PQ document appearing on Website.
- viii. The applicant who has downloaded the PQ document from website should read carefully & sign the declaration given on the Form E before submitting the PQ document.
- ix. In case of any doubt in the down-loaded PQ document, the same should be got clarified from the SBIIMS before submitting the PQ document.

- x. The Company or firm or any other person shall not be permitted to seek pre-qualification for the work, in case, his near relative(s) (directly recruited or on deputation in SBIIMS/SBI & is / are posted in any capacity either non-executive or executive employee in SBI PAN India. Near relative(s) for this purpose is/are defined as –
- i) Member of Hindu Undivided family (HUF).
 - ii) They are Husband and wife.
 - iii) The one is related to other in the manner as father, mother, son(s) & son's wife (daughter-in-law), Daughters(s), Daughter's husband (son-in-law), brother(s), brother's wife, sister(s), sister's husband (brother-in-law).

The applicant (principal vendor) shall also intimate the names of persons who are working with him in any capacity or are subsequently employed by him or who are near relative to any executive employee/officer in the SBI/SBIIMS

- xi. Efforts on the part of the applicant or his agent to exercise influence or to pressurize the employer would result in rejection of application. Canvassing of any kind is prohibited.

LETTER OF TRANSMITTAL

(Performa to be typed on the letter head of the Applicant)

The Managing Director & CEO,
SBI Infra Management Solutions Pvt. Ltd.,
Raheja Chambers, Ground Floor,
Free Press Journal Marg,
Nariman Point, Mumbai – 400 021/

Sub: Submission of Expression of Interest (EOI) for Prequalification of Proposed Construction of 3 Multi-storeyed Residential Towers at State Bank of India's Residential Complex, Sector-13, Nerul, Navi Mumbai.

Dear Sir,

Having examined the details given in pre-qualification web-notice and pre-qualification document for the above work, I/we hereby submit the pre-qualification documents (issued / downloaded from web) and other relevant information. Further:

- a. I/We hereby certify that all the statements made and information supplied in the Application Form, enclosed forms - A to G, Checklist and accompanying statements are true and correct.
- b. I/We have furnished all information and details necessary for pre-qualification and have no further pertinent information to supply.
- c. I/We submit the requisite certified solvency certificate and authorize the Managing Director & CEO, SBIIMS to approach the Bank issuing the solvency certificate to confirm the correctness thereof if they so desire.
- d. I/We also authorize Managing Director & CEO, SBIIMS to approach individuals, employers, firms and corporation to verify our competence and general reputation.
- e. I/We also submit prescribed declaration in respect of downloaded PQ document. (Applicable only in case of application on downloaded PQ document)
- f. I/We submit the following certificates in support of our suitability, technical know-how & capability for having successfully completed the following works:

SL.NO	Name of Work	Certificate from

- g. In case at any stage later, information/details submitted by me / us is / are found to be incorrect / false / fabricated, SBIIMS on behalf of SBI shall have the absolute right to disqualify / reject the application out-rightly and also debar me / us in participating in any future tenders without any prior intimation to me / us.
- h. I have read all the Terms and Conditions/prequalification criterion etc. and agree to abide by the decision of the Bank in all respect.

Enclosures:

Seal of applicant firm:

Date of submission:

Signature of Applicant

APPLICATION FORM

1	Name of the contractor Firm/ company:	
2	Type of Organisation (whether Sole Proprietorship, Partnership, private Limited or Co-op. body etc.)	
3	Year of establishment of the Firm/company	
4	Whether registered with the registrar of companies / registrar of firms (if so, mention number & date of registration, and submit supporting documents)	
5	Year since the firm/ company is in the line of business/ activity of construction of multi storeyed residential buildings	
6	Official/ registered address of the firm/ company	
7	Correspondence address of the firm/company	
8	Email-ID of the firm/company	
9	Landline number (with STD code) of the office/ firm	
10	Mobile number of the office/ firm/ company	
11	Name, mobile number & email ID of contact person	
12	Name/s of partners / proprietor/ directors/ key person of the firm (Details of address, contact number, qualification etc to be submitted at Annexure "C")	
13	Address of office in Mumbai if available.	
14	Whether Firm is having ISO Certification? Mention details	
15	Whether member of any professional body/association. Please give details & enclose certificate viz. IGBC	
16	GST Registration number (Photocopy to be attached)	
17	PAN No.	

18	Registration for EPF/ RPFC	
19	Registration for ESIC	
20	Registration under the Contract Labour Act	
21	Registration number under Labour Welfare Act	
22	Professional Tax registration no.	
23	Educational qualification of the proprietor/ partner/ director/key person	
24	Average annual turnover of the Company as per Audited Balance Sheets as on 31st March 2017, 2018, 2019. (details of turnover during previous F.Y. to be submitted as per format given in Annexure A)	2016-17: 2017-18: 2018-19: ----- Average:
25	Details of Similar works completed during the last 7 years, as per format given in annexure (Copies of work orders & completion certificates must be enclosed)	As per format Annexure B & B1
26	Value of Single Largest Project for Similar Work completed in the last 7 years	
27	Details of Similar work under execution	As per format Annexure C
28	Financial Information as per format given at Annexure A (Enclose copies of audited balance sheet and profit & loss statements and CA Certificate)	As per format Annexure A
29	Name and address of Bankers and position of financial soundness (Enclose solvency certificate or other relevant papers/documents, refer Annexure J)	As per Annexure J
30	Details of skilled work force provided.	As per format Annexure E
31	Details of equipment available with the firm –	As per format Annexure F
32	Mention is black listed and / or blacklisting proceedings pending with any client. Details of the same, with reasons, to be furnished.	
33	Details of disputes /litigations, if any, during the period of last 07 years	
34	Whether any penalty imposed by law enforcing agencies such as labour department, sale tax, GST, etc.	
35	Details of penalty / liquidated damage imposed by any client for defective /delayed/non-completion of work or violation of terms of the contract, during the last 7 years, ended on 31.03.2019. If yes, please provide details thereof, with reasons.	

36	Whether firm had been barred from participating in the bidding process or kept in cooling period/under suspension by any client, during the last 7 years, ended on 31.03.2019. If yes, please provide details thereof, with reasons.	
37	Please indicate details of any bankruptcy/winding up of proceedings at any point of time in past	
38	Covering cum declaration / confirmation letter as per Annexure-E	

BIO-DATA OF THE DIRECTORS/PARTNERS/ KEY ASSOCIATES

1.Name :

2.Date of Birth :

3.Associates with the organization since:

4. Professional Qualification :

5. Professional Experience :

6. Professional Affiliation :

7. Membership in :

8. Details of Published papers
in Magazine / Journals (if any) :

9. Details of cost-effective methods/
innovative techniques adopted

in the projects

10.Exposure to new materials/
Technology.

Signature of Applicant

FINANCIAL INFORMATION**I. Banker Details**

Name of the Bank :
 Branch with Address :
 City :
 Contact person in the Bank :
 Contact Details :

II. Details of Chartered Accountant

Name :
 Address :
 Registration details of accountant :
 Contact Number :
 E-mail address :

III. Financial Analysis – Details to be furnished duly supported by figures in Balance Sheet/Profit and Loss Account for the last Five years duly certified by the Chartered Accountant, as submitted by the applicant to the Income-Tax Department (Copies to be attached).

YEARS	2014-15	2015-16	2016-17	2017-18	2018-19
(i) Gross Annual turn-over in Construction works					
(ii) Profit/Loss					
(iii) Financial position:					
(a) Cash					
(b) Current Assets					
(c) Current Liabilities					
(d) Working capital (b-c)					
(e) Current Ratio: (Current Assets/Current Liabilities (b/c))					
(f) Acid Test Ratio: (Quick Assets/Current Liabilities (a/c))					

IV. Income Tax Clearance Certificate

V. Solvency certificate from Bankers (Schedule Bank) of Applicant.

VI. Financial arrangements for carrying out the proposed work

Signature of Chartered Accountant
with seal

Signature of Applicant(s)
with Seal

FORM 'B'

DETAILS OF ALL 'SIMILAR' WORKS COMPLETED DURING THE LAST SEVEN YEARS ENDING BY 31ST March 2019.

1	2	3	4	5	6	7	8	9	10	11	12
S. No.	Name of work/ project & location	Owner or Client	Date of Agreement with the owner	Scope of work executed	Volume of the work in sqft basis.	Cost of project work in Crores	Date of commencement as per contract & actual date of commencement	Stipulated Date of completion & Actual date of completion	Litigation/ Arbitration pending/ In progress with details (if any)	Name and address with contact No. of Officer of client to whom reference shall be made	Remarks

Signature of Applicant

Note:

Actual date of completion of the project should be within 7 years ending 31.01.2019 for taking into eligibility consideration.

The projects mentioned in the above format shall be sorted in the order of cost of the project (Descending order).

FORM 'C

'SIMILAR' PROJECTS ON HAND - UNDER EXECUTION OR AWARDED

1	2	3	4	5	6	7	8	9	10	11	12	13
Sl. No	Name of work/ project & location	Client / Owner	Type of Client / Owner (Mention Govt/ / Semi Govt / PSU)	Date of Agreement with the owner	Volume of the work in sqft	Cost of project work in Crores	Date of commencement as per contract & actual date of commencement	Stipulated Date of completion	Up to date percentage of progress of work completed	Delay in progress (if any) and reasons thereof	Name and address with contact No. of Officer of client to whom reference shall be made	Remarks (Indicate whether any show cause notice issued or Arbitration initiated during the progress work)

Signature of Applicant

Note:

The projects mentioned in the above format shall be sorted in the order of cost of the project (Descending order).

**PERFORMANCE REPORT FOR 'SIMILAR' MAJOR COMPLETED WORKS
(REFERRED TO IN FORM 'B')**

Name of the work/
Project & Location-

Scope of work. -

1. Agreement No & Date.
2. Estimated Cost / Tendered Cost
3. Actual Value of work done
4. Date of commencement
 - a. Stipulated date of commencement.
 - b. Actual date of commencement.
5. Date of completion
 - a. Stipulated date of completion.
 - b. Actual date of completion.
6. Amount of compensation levied for delayed completion if any.
7. Performance report based on

Quality of Work, : Very Good / Good / Fair / Poor

Time Management, : Very Good / Good / Fair / Poor

Resourcefulness : Very Good / Good / Fair / Poor

Financial Soundness : Very Good / Good / Fair / Poor

Technical Proficiency : Very Good / Good / Fair / Poor

Superintending Engineer /

Chief Project Manager or Equivalent &

(Name of Organization), Date.

Note:

1. The performance report is to be submitted separately for all major works mentioned in Form 'B'.
2. The performance report preferably be submitted in the above Performa. In case, different proforma is used, the applicant shall ensure that the report / certificate shall contain all the above information / details.

DECLARATION

(TO BE GIVEN BY THE APPLICANT WHO HAVE DOWNLOADED THE PQ DOCUMENT FROM THE WEB)

It is to certify that:

1. I / We have submitted the PQ document in the proforma as down-loaded directly from the web site & there is no change in formatting, number of pages etc.
2. I / We have submitted PQ document which are same / identical as available in the website.
3. I / We have checked that no page is missing and all pages as per the index and checklist are available & that all pages of PQ document submitted by us are clear & legible.
4. I / We have signed (with stamp) all the pages of the PQ document before submitting the same.
5. I / We have sealed the PQ documents properly before submitting the same.
6. I /We have read carefully & understood the instructions to the applicants.
7. I / We have not made any modification / corrections / additions /deletions etc in the PQ documents downloaded from web by me / us. In case at any stage later, it is found there is difference in our downloaded PQ documents from the original and / or any documentation, SBIIMS on behalf of SBI shall have the absolute right to disqualify / reject the application outrightly and also debar me / us in participating in any future tenders without any prior intimation to me / us.

Signature of Applicant

DETAILS OF KEY TECHNICAL AND ADMINISTRATIVE PERSONNEL EMPLOYED IN THE ORGANIZATION

Sr. No.	Designation	Total Number	Names	Educational Qualification	Professional Experience	Length of continuous service with employer in years
1	2	3	4	5	6	7

Signature of Applicant

Note:

1. Details of Technical personnel shall be provided qualification-wise.
2. Organization chart of the company, additional information about Technical and administrative personnel, if any, may be submitted on separate sheet.

**DETAILS OF PLANT & MACHINERY, MANUFACTURING UNITS, TOOLS AND EQUIPMENTS
LIKELY TO BE USED IN CARRYING OUT THE WORK.**

Sr. No	Name of the Tools / Machinery / Equipment	Unit	Make / Model / Capacity or Type	Age in years	Condition of the unit	Ownership Status (mention the quantity)			Current location	Remarks
						Presently owned	To be purchased	Leased		
1	2	3	4	5	6	7	8	9	10	11

Signature of Applicant

PRE-CONTRACT INTEGRITY PACT

General

This pre-bid pre-contract Agreement (hereinafter called the Integrity Pact) is made on _____ day of the month of _____ 20___, between, on one hand, the State Bank of India a body corporate incorporated under the State Bank of India Act, 1955 having its Corporate Centre at State Bank Bhavan, Nariman Point, Mumbai through its -----Department / _____ Office _____ at _____,-----, (hereinafter called the "SELLER", which expression shall mean and include, unless the context otherwise requires, its successors) of the First Part and M/s _ represented by Shri _____, Chief Executive Officer (hereinafter called the "BIDDER which expression shall mean and include, unless the context otherwise requires, its / his successors and permitted assigns of the Second Part.

WHEREAS the SELLER proposes to sale (Name of the Stores/Equipment/Item) and the BIDDER is willing to purchase/ acquire and

WHEREAS the BIDDER is a private company/public company/Government undertaking/partnership/registered export agency, constituted in accordance with the relevant law in the matter and the SELLER is an Office / Department of State Bank of India performing its functions on behalf of State Bank of India.

NOW, THEREFORE,

To avoid all forms of corruption by following a system that is fair, transparent and free from any influence/prejudiced dealings prior to, during and subsequent to the currency of the contract to be entered into with a view to :

- Enabling the SELLER to sale the desired product at a competitive price in conformity with the defined specifications by avoiding the high cost and the distortionary impact of corruption on public procurement; and
- Enabling BIDDERS to abstain from bribing or indulging in any corrupt practice in order to secure the contract by providing assurance to them that their competitors will also abstain from bribing and other corrupt practices and the SELLER will commit to prevent corruption, in any form, by its officials by following transparent procedures.

The parties hereto hereby agree to enter into this Integrity Pact and agree as follows:

Commitments of the SELLER

1.1 The SELLER undertakes that no official of the SELLER, connected directly or indirectly with the contract, will demand, take a promise for or accept, directly or through intermediaries, any bribe, consideration, gift, reward, favour or any material or immaterial benefit or any other advantage from the BIDDER, either for themselves or for any person, organisation or third party

related to the contract in exchange for an advantage in the bidding process, bid evaluation, contracting or implementation process related to the contract.

1.2 The SELLER will, during the pre-contract stage, treat all BIDDERS alike, and will provide to all BIDDERS the same information and will not provide any such information to any particular BIDDER which could afford an advantage to that particular BIDDER in comparison to other BIDDERS.

1.3 All the officials of the SELLER will report to the appropriate authority any attempted or completed breaches of the above commitments as well as any substantial suspicion of such a breach.

2. In case any such preceding misconduct on the part of such official(s) is reported by the BIDDER to the SELLER with full and verifiable facts and the same is prima facie found to be correct by the SELLER, necessary disciplinary proceedings, or any other action as deemed fit, including criminal proceedings may be initiated by the SELLER and such a person shall be debarred from further dealings related to the contract process. In such a case while an enquiry is being conducted by the SELLER the proceedings under the contract would not be stalled.

Commitments of BIDDERS

2. The BIDDER commits itself to take all measures necessary to prevent corrupt practices, unfair means and illegal activities during any stage of its bid or during any pre-contract or post-contract stage in order to secure the contract or in furtherance to secure it and in particular commit itself to the following:

3. 1 The BIDDER will not offer, directly or through intermediaries, any bribe, gift, consideration, reward, favour, any material or immaterial benefit or other advantage, commission, fees, brokerage or inducement to any official of the SELLER, connected directly or indirectly with the bidding process, or to any person, organisation or third party related to the contract in exchange for any advantage in the bidding, evaluation, contracting and implementation of the contract.

3.2 The BIDDER further undertakes that it has not given, offered or promised to give, directly or indirectly any bribe, gift, consideration, reward, favour, any material or immaterial benefit or other advantage, commission, fees, brokerage or inducement to any official of the SELLER or otherwise in procuring the Contract or forbearing to do or having done any act in relation to the obtaining or execution of the contract or any other contract with State Bank of India for showing or forbearing to show favour or disfavour to any person in relation to the contract or any other contract with State Bank of India.

3.3* Wherever applicable, the BIDDER shall disclose the name and address of agents and representatives permitted by the Bid documents and Indian BIDDERS shall disclose their foreign principals or associates, if any.

- 3.4* The BIDDER confirms and declares that they have not made any payments to any agents/brokers or any other intermediary, in connection with this bid/contract.
- 3.5* The BIDDER further confirms and declares to the SELLER that the BIDDER has not engaged any individual or firm or company whether Indian or foreign to intercede, facilitate or in any way to recommend to the SELLER or any of its functionaries, whether officially or unofficially to the award of the contract to the BIDDER, nor has any amount been paid, promised or intended to be paid to any such individual, firm or company in respect of any such intercession, facilitation or recommendation.
- 3.6 The BIDDER, at the earliest available opportunity, i.e. either while presenting the bid or during pre-contract negotiations and in any case before opening the financial bid and before signing the contract, shall disclose any payments he has made, is committed to or intends to make to officials of the SELLER or their family members, agents, brokers or any other intermediaries in connection with the contract and the details of services agreed upon for such payments.
- 3.7 The BIDDER will not collude with other parties interested in the contract to impair the transparency, fairness and progress of the bidding process, bid evaluation, contracting and implementation of the contract.
- 3.8 The BIDDER will not accept any advantage in exchange for any corrupt practice, unfair means and illegal activities.
- 3.9 The BIDDER shall not use improperly, for purposes of competition or personal gain, or pass on to others, any information provided by the SELLER as part of the business relationship, regarding plans, technical proposals and business details, including information contained in any electronic data carrier. The BIDDER also undertakes to exercise due and adequate care lest any such information is divulged.
- 3.10 The BIDDER commits to refrain from giving any complaint directly or through any other manner without supporting it with full and verifiable facts.
- 3.11 The BIDDER shall not instigate or cause to instigate any third person to commit any of the actions mentioned above.
- 3.12 If the BIDDER or any employee of the BIDDER or any person acting on behalf of the BIDDER, either directly or indirectly, is a relative of any of the officers of the SELLER, or alternatively, if any relative of an officer of the SELLER has financial interest/stake in the BIDDER's firm, the same shall be disclosed by the BIDDER at the time of filing of tender.
- The term 'relative' for this purpose would be as defined in Section 6 of the Companies Act 1956.

3.13 The BIDDER shall not lend to or borrow any money from or enter into any monetary dealings or transactions, directly or indirectly, with any employee of the SELLER.

4. Previous Transgression

4.1 The BIDDER declares that no previous transgression occurred in the last three years immediately before signing of this Integrity Pact, with any other company in any country in respect of any corrupt practices envisaged hereunder or with any Public Sector Enterprise / Public Sector Banks in India or any Government Department in India or RBI that could justify BIDDER's exclusion from the tender process.

4.2 The BIDDER agrees that if it makes incorrect statement on this subject, BIDDER can be disqualified from the tender process or the contract, if already awarded, can be terminated for such reason.

5. Earnest Money (Security Deposit)

5.1 While submitting commercial bid, the BIDDER shall deposit an amount (specified in RFP) as Earnest Money/Security Deposit, with the SELLER through any of the mode mentioned in the RFP / bid document and no such mode is specified, by a Bank Draft or a Pay Order in favour of State Bank of India from a nationalized Bank including SBI. However, payment of any such amount by way of Bank Guarantee, if so, permitted as per bid documents / RFP should be from any nationalized Bank other than SBI and promising payment of the guaranteed sum to the SELLER on demand within three working days without any demur whatsoever and without seeking any reasons whatsoever. The demand for payment by the SELLER shall be treated as conclusive proof for making such payment to the SELLER.

5.2 Unless otherwise stipulated in the Bid document / RFP, the Earnest Money/Security Deposit shall be valid up to a period of five years or the complete conclusion of the contractual obligations to the complete satisfaction of both the BIDDER and the SELLER, including warranty period, whichever is later.

5.3 In case of the successful BIDDER a clause would also be incorporated in the Article pertaining to Performance Bond in the Sale Contract that the provisions of Sanctions for Violation shall be applicable for forfeiture of Performance Bond in case of a decision by the SELLER to forfeit the same-without assigning any reason for imposing sanction for violation of this Pact.

5.4 No interest shall be payable by the SELLER to the BIDDER on Earnest Money/Security Deposit for the period of its currency.

6. Sanctions for Violations

6.1 Any breach of the aforesaid provisions by the BIDDER or any one employed by it or acting on its behalf (whether with or without the knowledge of the BIDDER) shall entitle the SELLER to take all or any one of the following actions, wherever required:

(i) To immediately call off the pre contract negotiations without assigning any reason and without giving any compensation to the BIDDER. However, the proceedings with the other BIDDER(s) would continue, unless the SELLER desires to drop the entire process.

(ii) The Earnest Money Deposit (in pre-contract stage) and/or Security Deposit/Performance Bond (after the contract is signed) shall stand forfeited either fully or partially, as decided by the SELLER and the SELLER shall not be required to assign any reason therefore.

(iii) To immediately cancel the contract, if already signed, without giving any compensation to the BIDDER.

(iv) To recover all sums already paid by the SELLER, and in case of an Indian BIDDER with interest thereon at 2% higher than the prevailing Base Rate of State Bank of India, while in case of a BIDDER from a country other than India with interest thereon at 2% higher than the LIBOR. If any outstanding payment is due to the BIDDER from the SELLER in connection with any other contract for any other stores, such outstanding could also be utilized to recover the aforesaid sum and interest.

(v) To encash the advance bank guarantee and performance bond/warranty bond, if furnished by the BIDDER, in order to recover the payments, already made by the SELLER, along with interest.

(vi) To cancel all or any other Contracts with the BIDDER. The BIDDER shall be liable to pay compensation for any loss or damage to the SELLER resulting from such cancellation/rescission and the SELLER shall be entitled to deduct the amount so payable from the money(s) due to the BIDDER.

(vii) To debar the BIDDER from participating in future bidding processes of the SELLER or any of its Subsidiaries for a minimum period of five years, which may be further extended at the discretion of the SELLER.

(viii) To recover all sums paid, in violation of this Pact, by BIDDER(s) to any middleman or agent or broker with a view to securing the contract.

(ix) Forfeiture of Performance Bond in case of a decision by the SELLER to forfeit the same without assigning any reason for imposing sanction for violation of this Pact.

(x) Intimate to the CVC, IBA, RBI, as the SELLER deemed fit the details of such events for appropriate action by such authorities.

6.2 The SELLER will be entitled to take all or any of the actions mentioned at para 6.1(i) to (x) of this Pact also on the Commission by the BIDDER or any one employed by it or acting on its behalf (whether with or without the knowledge of the BIDDER), of an offence as defined in Chapter IX of the Indian Penal code, 1860 or Prevention of Corruption Act, 1988 or any other

statute enacted for prevention of corruption.

6.3 The decision of the SELLER to the effect that a breach of the provisions of this Pact has been committed by the BIDDER shall be final and conclusive on the BIDDER. However, the BIDDER can approach the Independent Monitor(s) appointed for the purposes of this Pact.

7. Fall Clause

7.1 The BIDDER undertakes that it has not supplied/is not supplying similar product/systems or subsystems at a price lower than that offered in the present bid in respect of any other Ministry/Department of the Government of India or PSU or any other Bank and if it is found at any stage that similar product/systems or sub systems was supplied by the BIDDER to any other Ministry/Department of the Government of India or a PSU or a Bank at a lower price, then that very price, with due allowance for elapsed time, will be applicable to the present case and the difference in the cost would be refunded by the BIDDER to the SELLER, if the contract has already been concluded.

7. Independent Monitors

8.1 The SELLER has appointed Independent Monitors (hereinafter referred to as Monitors) for this Pact in consultation with the Central Vigilance Commission (Names and Addresses of the Monitors to be given):

NAME	SHRI JOHNY JOSEPH	SHRI K. CHANDRAHAS
CADRE	IAS (Retd.)	IRS (Retd)
ADDRESS	701/702, Callalily-Y, Nagar Amrit Shakti, Chandivali, Andheri (East), Mumbai – 400072	G-1, Reliance Homes, 8-2-547/R, Road No. 7, Banjara Hills, Hyderabad – 500034
e-mail ID	johnyoseph49@gmail.com	kchandrahas@yahoo.com

8.2 The task of the Monitors shall be to review independently and objectively, whether and to what extent the parties comply with the obligations under this Pact.

8.3 The Monitors shall not be subject to instructions by the representatives of the parties and perform their functions neutrally and independently.

8.4 Both the parties accept that the Monitors have the right to access all the documents relating to the project/procurement, including minutes of meetings.

8.5 As soon as the Monitor notices, or has reason to believe, a violation of this Pact, he will so inform the Authority designated by the SELLER.

8.6 The BIDDER(s) accepts that the Monitor has the right to access without restriction to all Project documentation of the SELLER including that provided by the BIDDER. The BIDDER will also grant the Monitor, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his project documentation. The same is applicable to Subcontractors. The Monitor shall be under contractual obligation to treat the information and documents of the BIDDER/Subcontractor(s) with confidentiality.

8.7 The SELLER will provide to the Monitor sufficient information about all meetings among the parties related to the Project provided such meetings could have an impact on the contractual relations between the parties. The parties will offer to the Monitor the option to participate in such meetings.

8.8 The Monitor will submit a written report to the designated Authority of SELLER/Secretary in the Department/ within 8 to 10 weeks from the date of reference or intimation to him by the SELLER / BIDDER and, should the occasion arise, submit proposals for correcting problematic situations.

9. Facilitation of Investigation

In case of any allegation of violation of any provisions of this Pact or payment of commission, the SELLER or its agencies shall be entitled to examine all the documents including the Books of Accounts of the BIDDER and the BIDDER shall provide necessary information and documents in English and shall extend all possible help for the purpose of such examination.

Law and Place of Jurisdiction

This Pact is subject to Indian Law. The place of performance and jurisdiction is the seat of the SELLER.

10. Other Legal Actions

The actions stipulated in this Integrity Pact are without prejudice to any other legal action that may follow in accordance with the provisions of the extant law in force relating to any civil or criminal proceedings.

11. Validity

12.1 The validity of this Integrity Pact shall be from date of its signing and extend up to 5 years or the complete execution of the contract to the satisfaction of both the SELLER and the BIDDER, including warranty period, whichever is later. In case BIDDER is unsuccessful, this Integrity Pact shall expire after six months from the date of the signing of the contract, with the successful bidder by the SELLER.

12.2 Should one or several provisions of this Pact turn out to be invalid; the remainder of this Pact shall remain valid. In this case, the parties will strive to come to an agreement to their original intentions.

13. The parties hereby sign this Integrity Pact at _____ on _____

For BUYER

Name of the Officer.

Designation

Office / Department / Branch

State Bank of India.

Witness

1

2

For BIDDER

CHIEF EXECUTIVE OFFICER

Witness

1.

2.

